



UNIVERSITY OF SOUTH AUSTRALIA INTERNAL RESEARCH OUTPUTS COLLECTION CATEGORIES

Introduction

In addition to the four categories collected via the annual Higher Education Research Data Collection (HERDC), UniSA collects information on other kinds of research outputs that may not meet the requirements for the HERDC but are eligible outputs for inclusion in Excellence in Research for Australia (ERA) submissions.

The internal categories are used in calculations for Supported Researcher status.

General

Any output submitted in the Internal Publications Collection must meet the HERDC definition of research viz:

For the purposes of these Specifications research comprises:

- *creative work undertaken on a systematic basis in order to increase the stock of knowledge, including knowledge of man (sic), culture and society, and the use of this stock of knowledge to devise new applications;*
- *any activity classified as research which is characterised by originality; it should have investigation as a primary objective and should have the potential to produce results that are sufficiently general for humanity's stock of knowledge (theoretical and/or practical) to be recognisably increased.*

The following types of publications are **excluded** as falling outside the definition of research:

- teaching material designed primarily for the use of internal and external students, such as course notes;
- technical indexes, bibliographies and compilations of data or information, except where they are produced to support academic research; and
- creative works that do not meet the definition of research.

The following general guidelines apply to all research outputs:

- each output, including original creative works, may only be counted once;
- first showings only of original creative works may be reported. Re-presented works are ineligible;
- outputs must contain a byline identifying the author with the University of South Australia;
- outputs may be in printed or electronic form; and
- outputs must be published/made publicly available in the reporting year.

In addition to meeting the definition of research, Original Creative Works submitted to the internal publications audit must be accompanied by a **250 word Research Statement** which identifies the research component of each output. This requirement is in keeping with ERA submission requirements.

Original Creative Works include:

- artefacts such as public art and/or design works;
- design prototypes and products (for example furniture);
- film, video/digital works;
- live performances and recordings;
- websites;
- buildings;
- landscape interventions;
- interior architectural interventions;
- visual art installations;
- novels;
- poetry.

The 250 word Research Statement should address the following:

1. Research Background
 - Field
 - Context
 - Research Question
2. Research Contribution
 - Innovation
 - New Knowledge
3. Research Significance
 - Evidence of Excellence

Examples of 250 word Research Statements:

- [Research Justification Example 1 \(PDF\)](#)
- [Research Justification Example 2 \(PDF\)](#)

Audit Process

All outputs submitted in the *Authored Other Scholarly Book* category will be subject to assessment by the Publications Working party.

All outputs reported in the *Creative Works* categories will be subject to assessment by Creative Works Working Party. The Creative Works Working Party applies a standard approach when reviewing outputs. The approach is outlined at Appendix I.

Note: all categories reported to DIISR will continue to be subject to full audit.

Internal Publication Categories

A2 Books – Authored Other Scholarly Book (Weighting 1.6)

This category refers to books which must be written solely by the author(s). All publications in this category **must** be a major work of scholarship, bound and **offered for sale**. All works in this category **must** have an ISBN, although this is not a sufficient reason in itself for inclusion.

Include:

- research monographs published by university departments;
- textbooks aimed at a university audience;
- books published by private individuals, university departments and privately funded companies, eg 'vanity presses';
- translations of published books and other authored books or monographs not classified as A1;
- annotated anthologies; and
- professional books.

Exclude:

- creative works such as novels (report as Textual Works);
- evaluative and investigate reports commissioned by industry partners;
- research reports;
- consultancy reports;
- university departmental working papers, technical papers, discussion papers;
- textbooks that are aimed at secondary, primary or pre-school students;
- edited books; and
- 2nd or subsequent editions.

Books in this category will be assessed on a case-by-case basis by the Publications Working Party.

C2 - Article in a Professional Journal (Weighting 0.25)

This category refers to works of scholarship published in a professional journal controlled by an editorial board. It includes articles that are sets of guidelines or summaries of the state of knowledge in an area which is intended to inform practitioners in a professional field. Articles in this category would normally undergo some form of peer review process.

Exclude:

- Editorials;
- Letters to the Editor;
- Non-scholarly, non-research articles;
- Articles in newspapers and popular magazines.

I - Patents and Registered Designs (Weighting 1.0)

A patent is a right granted for any device, substance, method or process which is new, inventive and useful. This category includes Australian standard patents and their international equivalents but not Australian innovation patents.

A registered design is a right granted for new and distinctive designs.

Patents and registered designs should only be submitted where the research behind the patent or registered design is clearly identifiable as meeting the definition of research.

Only patents which became enforceable in the year of collection are eligible, this means that patents must have been sealed within the period.

Only registered designs which were certified in Australia within the year of collection are eligible.

Patents and registered designs may only be submitted once.

CW1 - Major Commissioned Creative Works (Weighting 1.0, 3.0, 5.0)

Creative works are eligible for inclusion in this category only where the researcher is the creator of the creative work rather than, for example, the curator. The allocated weighting is for the commission itself regardless of the number of works that are produced. Where the work(s) is produced in collaboration with others, the weighting will be divided equally between the creators.

Eligible outputs included must be a major creative work commissioned for the public domain and may include:

- artefacts such as public art and/or design works;
- design prototypes and products (for example furniture);
- film, video/digital works;
- live performances and recordings;
- websites;
- buildings;
- landscape interventions;
- interior architectural interventions;
- visual art installations.

Items reported in this category will be assessed by the Creative Works Working Party. Weightings will also be assigned by the Creative Works Working Party. As a guide the following weightings may apply:

- International equivalence (re the standing of the commission) – 5.0
- National equivalence (re the standing of the commission) – 3.0
- State or Commercial equivalence (re the standing of the commission) – 1.0

CW2 - Individual (Solo) Invited Exhibition, Performance or Recording of Original Creative Works (Weighting 2.0)

This category refers to the first showing of an individual (solo) exhibition or performance of creative works. The exhibition/performance must be invited by an independent curator, a gallery committee or a gallery/performance director. It must be:

- held in a recognised gallery or museum or performance venue; or
- a site specific installation exhibited as part of a recognised event.

Exhibitions must be accompanied by a catalogue or a list of works. Performances must be accompanied by an official program.

The allocated weighting is for the exhibition/performance itself regardless of the number of works that are produced. Where the work(s) is produced in collaboration with others, the weighting will be divided equally between the creators.

Items reported in this category will be assessed by the Creative Arts and Design Working Party.

Group Exhibitions

CW3 - Major Group Exhibition (Weighting 1.0)

A Major Group Exhibition refers to the first showing of a creative work(s) in a major group exhibition. The exhibition may be invited by a curator or a gallery committee or a gallery director. It must be:

- held in a recognised gallery or museum; or
- a site specific installation exhibited as part of a recognised event.

The exhibition must be accompanied by a catalogue.

The allocated weighting is for the invitation to exhibit regardless of the number of works that are produced/exhibited by an individual. Therefore, the highest weighting that can be received for a Major Group Exhibition is 1.0. Where the work(s) is produced in collaboration with others, the 1.0 weighting will be divided equally between the creators.

CW4 - Minor Group Exhibition (Weighting 0.4)

A Minor Group Exhibition refers to the first showing of a creative work(s) in a group exhibition. Participation may be invited by a curator or by a curatorial committee from an open invitation. The exhibition may be:

- held in a UniSA gallery or in a local gallery; or
- a site specific installation exhibited as part of a local event.

The exhibition must be accompanied by a catalogue.

The allocated weighting is for the invitation to exhibit regardless of the number of works that are produced/exhibited by an individual. Therefore, the highest weighting is 0.4. Where the work(s) is produced in collaboration with others, the 0.4 weighting will be divided equally between the creators.

Items reported in this category will be assessed by the Creative Works Working Party.

CW5 - Invited/Commissioned Curation of an Exhibition or Event (Weighting 0.8)

This category refers to curation of an exhibition either by invitation or commission by a recognised gallery, organization or event.

Multiple exhibitions cannot be counted as multiple outputs where the repeated exhibition does not introduce a new research component to the work. For example, a touring exhibition may only be counted once.

Eligible outputs include:

- Exhibition
- Web based exhibition
- Event eg, a festival

Items reported in this category will be assessed by the Creative Works Working Party.

CW6 - Textual Works (Weighting 0.4)

Textual works include written creative or other works that are not eligible to be submitted as DIISR outputs. Outputs in this category must be published and available for sale or distributed outside of the institution. Where the output is either a published book, or a work within a published book (eg a poem, biographical essay), the book should have an ISBN.

Eligible outputs include:

- Novels
- Book chapters which may not meet the DIISR requirements
- Invited catalogue essay
- Poetry
- Biographical essays
- Major reviews of creative work(s)

Items reported in this category will be assessed by the Creative Works Working Party and/or the Publications Working Party.

2012 Verification Requirements

Publication Classification	Verification Requirements
Authored other scholarly book – A2	<ul style="list-style-type: none"> • Proforma • Bibliographic details including ISBN, publisher, date of publication • The table of contents, flyleaf, preface or introduction to the book • Copy of the page showing author’s byline and affiliation with UniSA
Article in a professional journal – C2	<ul style="list-style-type: none"> • Proforma • A copy of the article • Bibliographic details of the journal ie editorial board, ISSN, publisher, date of publication • Copy of the page showing author’s byline and affiliation with UniSA
Patent and Registered Design – I	<ul style="list-style-type: none"> • Proforma • Evidence of patent approval or design registration including patent or design registration number • Evidence of ownership of the patent or design registration • Evidence of patent or design registration owner’s affiliation with UniSA
<i>Creative Works</i>	
Major Commissioned Creative Works – CW1	<ul style="list-style-type: none"> • Proforma • Title of original creative work(s) • Name of venue (Note venue must be in the public domain) or event (eg Adelaide Festival of Arts, Venice Biennale) • Copy of commissioning letter OR letter of invitation to create work • 250 word Research Statement identifying research component of the work(s) • Evidence of affiliation with UniSA
Individual (Solo) Invited Exhibition, Performance or Recording of Original Creative Works – CW2	<ul style="list-style-type: none"> • Proforma • Evidence of invitation (eg letter of invitation to exhibit from curator, committee or board) • Name of creator • Title and details of original creative work • Date and location of exhibition/performance • Copy of catalogue (exhibition) or program (performance or recording) • 250 word Research Statement identifying research component of the work(s) • Evidence of affiliation with UniSA
Major Group Exhibition – CW3	<ul style="list-style-type: none"> • Proforma • Copy of the advertisement for the exhibition, name of the publication in which the advertisement appeared or URL for the advertisement and date of the advertisement • Evidence of invitation (eg letter of invitation to exhibit from curator, committee or board) • Name of creator(s)

	<ul style="list-style-type: none"> • Title and details of the creative work(s) • Date and location of the exhibition • Copy of the catalogue • 250 word Research Statement identifying research component of the work(s) • Evidence of affiliation with UniSA
Minor Group Exhibition – CW4	<ul style="list-style-type: none"> • Proforma • Advertisement for the exhibition, name of the publication in which the advertisement appeared and date of the advertisement • Evidence of open invitation or call for submissions • Name of exhibitor(s) • Title and details of the creative work(s) • Date and location of the exhibition • Copy of the catalogue • 250 word Research Statement identifying research component of the work(s) • Evidence of affiliation with UniSA
Invited/commissioned curation of an exhibition or event – CW5	<ul style="list-style-type: none"> • Proforma • Letter of invitation to curate • Copy of exhibition catalogue showing evidence of curatorship, catalogue essay or curator’s statement • 250 word Research Statement identifying research component within the curation • Evidence of affiliation with UniSA
Textual Works –CW6	<ul style="list-style-type: none"> • Proforma • Copy of the bibliographic details eg, ISBN, date of publication, publisher • Copy of the work (See Guidelines for eligible outputs) • 250 word Research Statement identifying research component within the output • Evidence of affiliation with UniSA

UniSA Creative Works Working Party

Assessment Approach

1. Identify category in which work is being reported
2. Check category and verification requirements against evidence submitted
3. Check that work submitted meets the HERDC definition of research
4. Review exhibition/performance venue – does it meet the ERA definition of a recognised gallery/event space?
5. Identify missing evidence and request same from author
6. Regarding work(s) created by more than one person, if evidence of individual's contribution is unclear, request clarification of role in producing the work(s)
7. Make recommendation about output reported (category, weighting (if relevant)) based on evidence presented (including additional evidence if requested)